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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: Taiji EMA et al.

Serial No.: 10/797,188

Filed: March 11, 2004

Confirmation No.: 4959

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING  
THE SAME

Attorney Docket Number: 960045E

Customer Number: 38834

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.97(b)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Date: April 19, 2005

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08A. This paper is being filed within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**

  
Stephen G. Adrian

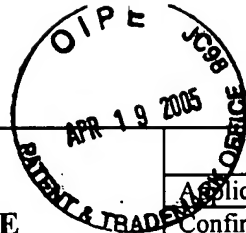
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Enclosure: PTO/SB/08A and 12 Documents



Substitute for Form 1449 A & B/PTO		Complete if Known			
		Application Number	10/797,188		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Confirmation Number	4959		
		Filing Date	March 11, 2004		
		First Named Inventor	Taiji EMA et al.		
		Art Unit	Not Yet Assigned		
		Examiner Name	Not Yet Assigned		
Sheet		of		Attorney Docket Number	960045E

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	1	JP	3-64964		03-20-1991	Toshiba Corp.	Abstract
	2	JP	3-174765		07-29-1991	OKI Electric Ind. Co. Ltd.	Abstract
	3	JP	3-174766		07-29-1991	Toshiba Corp.	Abstract
	4	JP	5-136369		06-01-1993	Sharp Corp.	Abstract
	5	JP	5-145036		06-11-1993	Toshiba Corp.	Abstract
	6	JP	5-218332		08-27-1993	Samsung Electron Co. Ltd.	Abstract
	7	JP	5-243517		09-21-1993	NEC Corp.	Abstract
	8	JP	5-304269		11-16-1993	NEC Corp.	Abstract
	9	JP	6-37272		02-10-1994	Toshiba Corp.	Abstract
	10	JP	6-37273		02-10-1994	Toshiba Corp.	Abstract
	11	JP	6-37280		02-10-1994	Toshiba Corp.	Abstract
	12	JP	6-120447		04-28-1994	Mitsubishi Electric Corp.	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.